UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

MAILED

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U.S. PATENT AND TRADEMARK OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES Ex parte RYOTA SUGIMOTO

Application 09/870,672

ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was received electronically at the Board of Patent Appeals and Interferences on August 29, 2006. A review of the application has revealed that the application is not ready for docketing as an appeal. Accordingly, the application is herewith being electronically returned to the examiner. The matters requiring attention prior to docketing are identified below:

An examination of the Image File Wrapper (IFW) reveals that an Appeal Brief was filed on April 3, 2006.

37 CFR § 41.37(c)(1)(v) reads as follows:

(c)(1) The brief shall contain the following items under appropriate headings and in the order indicated in paragraphs (c)(1)(i) through (c)(1)(x) of this section, except that a brief filed by an appellant who is not represented by a registered practitioner need only substantially comply with paragraphs (c)(1)(i) through (c)(1)(iv) and (c)(1)(vii) through (c)(1)(x) of this section:

. . . .

- (v) Summary of claimed subject matter. A concise explanation of the subject matter defined in each of the independent claims involved in the appeal, which shall refer to the specification by page and line number, and to the drawing, if any, by reference characters. For each independent claim involved in the appeal and for each dependent claim argued separately under the provisions of paragraph (c)(1)(vii) of this section, every means plus function and step plus function as permitted by 35 U.S.C. 112, sixth paragraph, must be identified and the structure, material, or acts described in the specification as corresponding to each claimed function must be set forth with reference to the specification by page and line number, and to the drawing, if any, by reference characters.
- (vi) Grounds of rejection to be reviewed on appeal. A concise statement of each ground of rejection presented for review.

The "Summary of claimed subject matter" appearing on pages 3 and 4 of the Appeal Brief filed April 3, 2006 is deficient because it does not map the

specification to the independent claims. Correction is required. In addition, the "Grounds of rejection to be reviewed on appeal" section as set forth in 37 CFR § 41.37(c)(1)(vi) is missing from the Appeal Brief. Correction is required. It should be noted that the "Issues" and "Grouping of Claims" sections are no longer required under 37 CFR § 41.37(c)(1).

Accordingly, it is

ORDERED that the application is returned to the Examiner:

- 1) for notification to appellant to submit a substitute Appeal
 Brief which corrects the "Summary of claimed subject matter" and adds the
 "Grounds of rejection to be reviewed on appeal";
 - 2) for consideration of the substitute Appeal Brief; and
 - 3) for such further action as may be appropriate.

BOARD OF PATENT APPEALS AND INTERFERENCES

By:

ĎALE M. SHAW

Deputy Chief Appeals Administrator

(571) 272-9797

DMS:psb

Cermak & Kenealy, LLP 515 East Braddock Road – Suite B Alexandria, VA 22314